UNITED STATES PATENT AND TRADEMARK OFFICE

Hua, et al.

Serial No.: 09/712,612

: Group Art Unit: 1646

: Examiner:

not assigned

Filed: November 13, 2000

For:

METHODS OF TREATING CATARACTS AND DIABETIC RETINOPATHY WITH

TRICYCLIC PYRONES

TRANSMITTAL

Assistant Commissioner for Patents BOX MISSING PARTS Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 26, 2001 included herewith are:

Check in the amount of \$65 (\$65 declaration surcharge)

Copy of Formalities Letter (Notice to File Missing Parts)

Executed Joint Inventors' Declaration for Patent Application and Power of Attorney

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Susan K. Doughty Reg. No. 43,595

GREENLEE, WINNER AND SULLIVAN, P.C.

5370 Manhattan Circle, Suite 201

Boulder, Colorado 80303

Phone: (303) 499-8080; Fax: (303) 499-8089

Email: Winner@Greenwin.com

Attorney Docket No. 90-99 nnr:April 9, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: The Assistant Commissioner for Patents,

Washington, D.C., 2023



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPIO.GOV

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT AT

ATTORNEY DOCKET NUMBER

09/712,612

11/13/2000

Duy H. Hua

90-99

23713 GREENLEE WINNER AND SULLIVAN P C 5370 MANHATTAN CIRCLE SUITE 201 BOULDER, CO 80303 CONFIRMATION NO. 9612
FORMALITIES LETTER
OC000000005899450

Date Mailed: 03/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2001 EABUBAK1 00000060 09712612

01 FC:205

65.00 OP